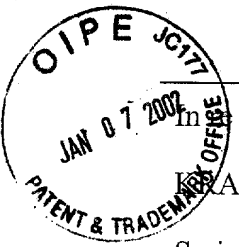


SRE0001-US

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

KRAEHNBUHL ET AL.

Serial No.: 09/967,045

Art Unit: 2166

Filed: October 1, 2001

Examiner: Not Yet Assigned

For: ON-LINE REINSURANCE
CAPACITY AUCTION SYSTEM
AND METHOD

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Box: Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers mailed November 7, 2001,
copy attached, applicants are submitting herewith:

- ☐ Declaration and Power of Attorney for Patent Application signed by Applicant;
- ☒ 2 sheets of drawings in compliance with applicable regulations;
- ☐ Other: _____;
- ☐ Check in the amount of _____ to cover the required fees for submission of these documents;
- ☐ The Commissioner is hereby authorized to charge the amount of _____ to Deposit Account 50-1390 to cover the required fees for submission of these documents, and a copy of this authorization is attached.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account 50-1390.

09967045-010702

Serial No.: 09/967,045
Art Unit: 2166

Attorney's Docket No.: SRE0001-US
Page 2

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account 50-1390.

It is respectfully submitted that the application is now complete and it is respectfully requested that the subject application be placed upon the files for examination.

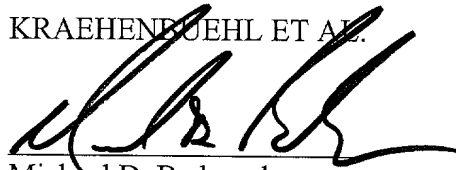
SHAW PITTMAN LLP
1650 Tysons Boulevard
McLean, VA 22102
Tel: 703/770-7606

Date: January 7, 2002

Respectfully submitted,

KRAEHENBUHL ET AL.

By:



Michael D. Bednarek
Registration No. 32,329

09/967,045 - 010702



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/967,045	10/01/2001	Martin Kraehenbuehl	SRE0001-US

CONFIRMATION NO. 2573

FORMALITIES LETTER



OC000000007039505

Michael D. Bednarek
SHAW PITTMAN LLP
1650 Tysons Boulevard
McLean, VA 22102

Date Mailed: 11/07/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Charlley Lewis
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

OIPE JC17
 JAN 07 2002
 PATENT & TRADEMARK OFFICE
 2020FO-5402955